



10 MAY 2007

Philips Intellectual Property & Standards  
P.O. Box 3001  
Briar cliff Manor, NY 10510

In re Application of	:	
VENKAT et al.	:	
Application No.: 10/565,662	:	DECISION ON REQUEST
PCT No.: PCT/IB04/51281	:	
Int. Filing Date: 22 July 2004	:	
Priority Date: 25 July 2003	:	
Attorney Docket No.: US030231	:	
For: FILAMENT CUTOUT CIRCUIT	:	

This decision is issued in response to the "Response to Decision on Request for Correction of Inventorship dated 26 December 2006" filed 13 March 2007, which is being treated as a request under 37 CFR 1.497(d) to correct the inventorship of the present national stage application. The petition fee has been submitted.

### **BACKGROUND**

On 22 July 2004, applicants filed international application PCT/IB04/51281 which claimed a priority date of 25 July 2003. The published international application identified two applicant/inventors for the United States: Rama Venkat and Patrick Keegan. The deadline for submission of the basic national fee was thirty months from the priority date, i.e., 25 January 2006.

On 23 January 2006, applicants filed a transmittal letter for entry into the national stage in the United States accompanied by, among other materials, payment of the basic national fee; a preliminary amendment; and a copy of the international application.

On 05 May 2006, the United States Designated/Elected Office mailed "Notification of Missing Requirements under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)" (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a) and (b) was required.

On 06 November 2006, applicants filed a request under 37 CFR 1.497(d) to add Ernesto Mendoza as an inventor. In a decision dated 22 December 2006, applicants petition under 37 CFR 1.497(d) was dismissed without prejudice.

On 13 March 2007, applicants filed the present renewed request under 37 CFR 1.497(d).

### DISCUSSION

The present submission seeks to correct the inventorship so as to add inventor Ernesto Mendoza to the application. Where, as here, the inventorship in the national stage declaration is not consistent with the inventorship in the international application, applicants must correct the inventorship pursuant to 37 CFR 1.497(d), which states the following:

(d) If the oath or declaration filed pursuant to 35 U.S.C. 371(c)(4) and this section names an inventive entity different from the inventive entity set forth in the international application, the oath or declaration must be accompanied by:

(1) A statement from each person being added as an inventor and from each person being deleted as an inventor that any error in inventorship in the international application occurred without deceptive intention on his or her part;

(2) The processing fee set forth in § 1.17; and

(3) If an assignment has been executed by any of the original named inventors, the written consent of the assignees (see § 3.73(b) of this chapter).

(4) any new oath or declaration required by paragraph (f) of this subsection.

With respect to the adding Ernesto Mendoza to the application, applicants' previous submission satisfied requirements (1), (2) and (4).

Regarding item (3), applicants have submitted a document entitled "Consent of Assignee" which states that Koninklijke Philips Electronic N.V. hereby consents to the addition of Ernesto Mendoza to the inventorship of the above-identified application. The "Consent of Assignee" is executed by an "authorized representative" that states that he is authorized to act on behalf of the assignee. However, pursuant to 37 CFR 1.497(d)(3), the consent of the assignee must be submitted in compliance with 37 CFR 3.73(b) (see MPEP section 201.03(II)(D)). Here, the statement executed by the assignee does not comply with the requirements of 37 CFR 3.73(b)(1). Specifically, the assignee does expressly state that "the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being submitted for recordation" or that "documentary evidence of a chain of title from the original owner to the assignee is recorded in the assignment records of the Office (e.g., reel and frame number)." (It is noted that "[i]f the submission under this

section is by assignee of less than the entire right, title and interest, such assignee must indicate the extent (by percentage) of its ownership interest, or the Office may refuse to accept the submission as an establishment of ownership.)

Based on the above, the present record does not satisfy all the requirements for correction of the inventorship under 37 CFR 1.497(d).

### **CONCLUSION**

Applicants' request to add inventor Ernesto Mendoza under 37 CFR 1.497(d) is **DISMISSED** without prejudice. The inventors of record remain the inventors named on the international application.

Applicants must file a proper response to this decision within **TWO (2) MONTHS** from the mail date indicated above. A proper response must include a declaration in compliance with 37 CFR 1.497(a)-(b) and a written consent of assignee in compliance with 37 CFR 3.73(b).

Failure to file a timely and proper response will result in abandonment of the application. Extensions of time may be obtained under 37 CFR 1.136(a).

Please direct further correspondence with respect to this matter to the Assistant Commissioner for Patents, Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Anthony Smith  
Attorney-Advisor  
Office of PCT Legal Administration  
Tel: (571) 272-3298  
Fax: (571) 273-0459